PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 095309.56922US DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** 10/553,966 PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. **April 19, 2003** March 9, 2004 PCT/EP04/02386 TITLE OF INVENTION METHOD AND CONTROL DEVICE FOR OPERATING A DRIVE TRAIN OF A MOTOR VEHICLE APPLICANT(S) FOR DO/EO/US Michael KOLLENDER, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 1. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 冈 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) 3. and (21) indicated below. The US has been elected (Article 31). 4. M A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 5. is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. Is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7. are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. d. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (one (1) page). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 10. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. **13**. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 14. A substitute specification. **15**. A power of attorney and/or change of address letter. 16. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. **17**. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

20.

Time (Two (2) Months).

Other items or information: Response copy of Notification of Missing Requirements dated June 27, 2006; Petition for Extension of

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION			37 CFR 1.5)	INTERNATIONAL APPL		ATTORNEY'S DOCKET N	_ · · · _ _ · ·
		3,966	• • • • • • • • • • • • • • • • • • • •	<u> </u>	204/02386	095309.5	· · · · · · · · · · · · · · · · · · ·
		•	ve been submitte		¢300 00	CALCULATIONS	PTO USE ONLY
<u></u>		•))	9300.00	\$	
<u>. </u>		•	C.F.R. §1.492(c))				
				rnational preliminary ates all claims satisfy			•
				s an claims sausty	\$0.00		•
						\$	
			. §1.492(b))				
If the written opin	ion of th	ne ISA/US	or the Internation	nal preliminary examina	tion		
report prepa	red by I	IPEA/US i	indicates all claim	s satisfy provisions of P	CT		
Article 33(1)	-(4) ED 1 44	15(a)(2)\ h	as heen naid on	the international applica	⊅∪ tion to		
the USPTO	as an Ir	nternation	al Searching Auth	nority	\$100		
International Sea	rch Rep	ort prepa	red by an ISA oth	er than the US and prov	vided to		
				JS by the IB		•	
All other situation	15		OF 21, 22 AND			•	
						4	
Additional fe	ee for sp	pecification	n and drawings fi	led in paper over 100 sh	leets (excluding		
sequence lis \$250 for each	sung or ch addit	ional 50 s	heets of paper or	led in an electronic med fraction thereof.	namy. The ice is		
				additional 50 or fraction	RATE]	
Total Sheets		Sheets	thereof (round u	ip to a whole number)_		٠ ـ	
- 100		/ 50 =	- Ale	Innetion Inter-the 00 co	x 250	***************************************	
Surcharge of \$13 claimed priority of				laration later than 30 me	ondis nom die eamest	\$	
CLAIMS	iaic (3)		BER FILED	NUMBER EXTRA	RATE		
Total claims		1401411	- 20		x 50	\$	
			- 3	· · · · · · · · · · · · · · · · · · ·	x 200	\$	
Independent cla		T CLASS			+ 360	\$	
MULTIPLE DEP	ENUEN	T CLAIM((S) (if applicable)	TOTAL OF ADO	OVE CALCULATIONS =	-	
					<u> </u>	*	
Applicant cla	aims sm	all entity s	status. See 37 CF	R 1.27. Fees above are		\$	
					SUBTOTAL =	***************************************	
Processing fee of	of \$130.	00 for furr	hishing the Englis	h translation later than 3	ou months from the	\$	
earliest claimed	priority	uale (3/ C	<u> </u>		TAL NATIONAL FEE =		
Fee for recording	n the en	closed as	signment (37 CF	R 1.21 (h)). The assignment			
accompanied by	an app	ropriate c	over sheet (37 C	FR 3.28, 3.31). \$40.00 p	er property+	. \$	
		·-			AL FEES ENCLOSED =		
					-	Amount to be	
						refunded: Amount to be	\$
						charged:	\$
	ماء : الم		of © to cover t	ne above fees is enclose	-d	· · · · · · · · · · · · · · · · · · ·	
		e amount	·				
b. Pleas	e charg	e my Dep	osit Account No.	05-1323 (Attorney Doc	ket No. 095309.56922U	S) in the amount of \$ to	cover the above fees.
A dup	olicate	copy of th	nis sheet is encl	osed.			
c. 🔀 The C)ommies	sioner is h	ereby authorized	to charge any additiona	ni fees which may be requ	uired, or credit any overpa	yment to Deposit
c. X The C	unt No. (05-1323 (<i>i</i>	Attorney Docket	No. 095309.56922US).	A duplicate copy of th	is sheet is enclosed.	
							formation chould not
d. Fees	are to b	e charged	to a credit card.	wakning: Information and	authorization on PTO-20	me public. Credit card in 38.	IVITIBLIVII SIIVUIU IIVE
							_ (h)\
NOTE: Where	an app	ropriate t	ime limit under :	37 CFR 1.495 has not b	peen met a petition to r	evive (37 CFR 1.137(a) o	r (p)) must be tiled
and granted to	restore	tne inte	глацопаі Аррііс	ation to pending status			
OFNID ALL COS	ם מרכים	ONIDENO!	E TO:		1 H		
SEND ALL COF					The X DAN		
		oring, LLP roperty G			SIGNATURE		
	Box 143	•			James F. McKeown		
		D.C. 2004	44-4300	/ /	NAME		
	-	2) 624-250		1 /	25,406 REGISTRATION N	UMBER	
l l	•) 628-884			October 24, 2006		
	•				DATE		



23911

United States Patent and Trademark Office

56922 US

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S.	APPLICATION NUMBER	NO
		

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/553,966

INTELLECTUAL PROPERTY GROUP

WASHINGTON, DC 20044-4300

Michael Kollender

095309.56922US

INTERNATIONAL APPLICATION NO.

PCT/EP04/02386

I.A. FILING DATE

PRIORITY DATE

03/09/2004

04/19/2003

CONFIRMATION NO. 9955

371 FORMALITIES LETTER



Msq Reg The 8/27/06

OC00000019408407

Date Mailed: 06/27/2006

CROWELL & MORING LLP

P.O. BOX 14300

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/19/2005
- Copy of the International Search Report filed on 10/19/2005
- Preliminary Amendments filed on 10/19/2005
- Information Disclosure Statements filed on 10/19/2005
- U.S. Basic National Fees filed on 10/19/2005
- Substitute Specification filed on 10/19/2005
- Priority Documents filed on 10/19/2005
- Non-English Language Application filed on 10/19/2005
- Specification filed on 10/19/2005
- Claims filed on 10/19/2005
- Abstracts filed on 10/19/2005
- Drawings filed on 10/19/2005

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

• Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).



Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.	
10/553,966	PCT/EP04/02386	095309.56922US	

FORM PCT/DO/EO/905 (371 Formalities Notice)